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JCS41 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3Com-95 (3736WSDUSP)
First Inventor Simon P. VALENTINE
Title METHOD AND APPARATUS FOR DETERMINING UNMANAGED NETWORK DEVICES IN THE TOPOLOGY OF A NETWORK
Express Mail Label No. EL632364621US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration with Power [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [1] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) [2]
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Non Prov. of Provisional

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 007265 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Peter L. MICHAELSON

Registration No. (Attorney/Agent)

30,090

Signature

Peter L. Michaelson

Date

January 21, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

(3COM95TRANS/70:ca)

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01/22/02

MICHAELSON & WALLACE

A Partnership of Intellectual Property and Technology Lawyers

Doc. No. 3Com-95 (3736WSDUSP)

January 21, 2002

PATENT APPLICATION

Peter L. Michaelson
NJ, NY, PA, AK Bars

Robert M. Wallace
CA Bar

Janet M. Skafar
CA Bar

Arthur L. Liberman
D.C. Bar

Commissioner for Patents

BOX PATENT APPLICATION

Washington, D. C. 20231

Sir:

FEE TRANSMITTAL FORM

Enclosed herewith for filing is the following
utility patent application:

Applicants: **Simon Peter VALENTINE,**
Christopher Robert LINZELL,
Peter Wai LAM,
Andrew Peter WHITE

Title of application: **METHOD AND APPARATUS FOR DETERMINING
UNMANAGED NETWORK DEVICES IN THE
TOPOLOGY OF A NETWORK**

Pages of application: 19 (which includes 3 pps. of claims
[1-13] and 1 page of abstract)

Sheets of drawing: 3 (FIGs. 1-5)

Executed on: **November 9, 2001, November 9, 2001,**
December 15, 2001 and November 9, 2001,
respectively

Atty. Doc. No.: **3Com-95 (3736WSDUSP)**

email:
pmichaelson@mandw.com

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www.mandw.com

PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>13</u>	-20	0 x \$18.00	\$ 00.00
Independent				
Claims	<u>3</u>	-3	1 x \$84.00	\$ 00.00
			Minimum Filing Fee	\$ 740.00
			Multiple Dependency Fee	
			(if applicable - \$280.00)	\$ 00.00
			50% Reduction for Small Entity	
			(Independent Inventor, Non-profit	
			Corporation, or Small Business	
			Concern)	\$- 00.00

NJ Office (Reply to):

Parkway 109 Office Ctr.
328 Newman Springs Rd.
P.O. Box 8489
Red Bank, NJ 07701
Tel: 732-530-6671
Fax: 732-530-6584/5
Videoconference:
732-224-0132 (ISDN)

Offices also in:

Ventura, California
Silicon Valley, California

TOTAL FILING FEE \$ 740.00
ASSIGNMENT RECORDATION \$ 40.00
TOTAL FEES ENCLOSED \$ 780.00

Our check in the amount of the total filing fee is enclosed herewith.

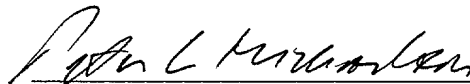
In the event that this check is unacceptable, insufficient or omitted, kindly charge the entire or additional filing fee, as appropriate, to my deposit account number 13-3083. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Also enclosed herewith for filing in connection with the enclosed application are:

- XX Postcard Receipts (2);
- XX Check;
- XX Utility Patent Application Transmittal;
- XX Application Data Sheet (2 pps.);
- XX A Recordation Form Cover Sheet and an Assignment of the application to: 3Com Corporation (4 pps.);
- XX An executed Declaration and Power of Attorney (4 pps);
- XX A Submission of Priority Document with certified copy of UK 0115703.1 (6/27/01); and
- XX A Disclosure Statement, modified PTO Form SB/08A, UK Search Report and one (1) reference.

Respectfully submitted,

MICHAELSON & WALLACE



Peter L. Michaelson, Attorney
Reg. No. 30,090
Customer No. 007265

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number: EL632364621US

Date of deposit: January 22, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231.

Peter L. Michaelson

Signature of person making certification

Peter L. Michaelson

Name of person making certification

10054422 012202

(3COM95FEELTR/70:ca)

EXPRESS MAIL CERTIFICATE APPEARS ON LAST PAGE